



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Keigo KITAJIMA : Patent Art Unit: 3654

Serial No.: 10/651,999

Filed: September 2, 2003

For: REEL UNIT FOR SPINNING REEL

INFORMATION DISCLOSURE STATEMENT WITH CERTIFICATION

Assistant Commissioner of Patents Washington, DC 20231

Sir:

In accordance with MPEP 609 and 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant brings the attached reference to the Examiner's attention and requests that it be considered and made of record in this application. Also attached is a completed PTO-1449 form.

I hereby certify that each item of information in the Information Disclosure Statement filed herewith was cited for the first time in any communication from a foreign patent office in any counterpart foreign application not more than three months prior to the filing of this information disclosure statement. A copy of the European Search Report of the corresponding EP application (03 39 4083) is attached hereto.

No fee is believed to be required in view of the above certification. However, Applicant[s] authorize[s] the Commissioner of Patents and Trademarks to charge payment of the Petition fee Under 37 C.F.R. §1.17(p) if required and any other fees associated with this communication or credit any overpayment to Deposit Account No. 50-1836.

U.S. Patent No. 5,540,397 is a family patent of German Patent No. 43 070 033, and is referenced to herein for the Examiner's convenience.

Respectfully submitted,

Kiyoe K. Kabashima Reg. No. 54,874

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Dated: Apr

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449A/PTO

Sheet

がFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application Number 10/651,999

Filing Date September 2, 2003

First Named Inventor Keigo KITAJIMA

Group Art Unit 3654

Examiner Name

Attorney Docket Number SN-US020495

(use as many sheets as necessary)

U.S. PATENT DOCUMENTS Name of Patentee or Applicant of Cited Document Document Number **Publication Date** Pages, Columns, Lines, Where Relevant Examiner Initials * Passages or Relevant
Figures Appear

Column 1, line 29-44, 55-64; column 3, line 11-20; figures 1-3, 10 MM-DD-YYYY Number - Kind Code² (if known) US-5,476,230-B1 12/19/1995 YAMAGUCHI 06/30/1996 US- 5,540,397-B1 YOSHIKAWA Column 3, line 25-29, Fig 1 US-US-US-US-US-US-US-US-US-US-US-US-US-US-US-US-US-US-

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵		
		EP-1304032-A2	04/23/2003	KITAJIMA	Column 1, line 28- 37; column 5, line 48- column 6, line 19; column 7, line 11-38; figures 1, 2, 5, 6	N/A		
		DE-4307033-A1	09/09/1993	YOSHIKAWA	Column 3, line 49- 55, figure 1	NO		

Examiner Signature	Date Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.qov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.